

# Privacy Policy

**December 2015**

The protection of privacy and the safeguarding of our Clients' personal and financial information is our highest priority.

The following Privacy Policy Statement which clearly explains how we, at MTI Investments LLC. ("COMPANY"), collect, process, store and protect our Clients' information.

By opening an account, a Client hereby consents to such collection, processing, storage and use of personal information by COMPANY as explained below.

## COLLECTION OF PERSONAL INFORMATION

COMPANY collects the necessary information required to open, transact and safeguard your assets and your privacy and to provide you with the services you require. To this end, COMPANY gathers information from you and may, in certain circumstances, gather information from relevant banks and/or credit agencies, and/or other sources which help us profile your requirements and preferences and provide better services to you.

The information collected may include:

1. Application information

Personal information you provide us in your application form, such as your name, address, date of birth, email address, income and income source etc. in order to facilitate the evaluation of your application. The information you provide us is also used for the purposes of communicating with you.

2. Transaction information

Information about the anticipated volume and value of your transactions with us and income information provided in order to enable the construction of your economic profile.

3. Verification information

Information necessary to verify your identity, such as an identification card, passport or driver's license. This also includes background information we receive about you from public records or from other entities not affiliated with the Company.

## USAGE OF PERSONAL INFORMATION/OPT OUT

The Company uses personal information only as required to provide quality service and security to you. This information helps improve services, customize browsing experience and enables us to inform you of additional products, services or promotions relevant to you and the products and services you require and you consent to us using this data for such purposes.

If you do not want to receive information of this nature for any reason, please contact us at the following address: [info@mtimarkets.com](mailto:info@mtimarkets.com).

Although you are not required to provide to the Company any of the personal information that we



MTI Markets is a brand owned and operated by MTI Investments LLC, a financial services company providing trading in financial and commodity-based derivative instruments and other securities, licensed by the International Business Corporations Act

t: +44 (20) 3129 6537

e: [info@mtimarkets.com](mailto:info@mtimarkets.com)

a: Trust Co, Ajeltake Road, Ajeltake Island, Majuro, Marshall Islands MH96960

may request, please note that failure to do so could result in the Company not being able to open your account or provide you with the service you require.

Whilst we attempt to ensure that all the information we hold about you is current, accurate and complete, we urge you to immediately contact us if any of your personal details have changed.

## PROTECTION OF PERSONAL INFORMATION

Any personal information you provide us will be treated as confidential and shared only within Company and its affiliates and will not be disclosed to any third party except under any regulatory or legal proceedings.

The personal information that you provide in connection with registering yourself as a user of mtimarkets.com is protected in many ways. You can access your Registration Information through a password selected by you. This password is encrypted and known only to you and shall not be revealed to anyone else.

Registration Information is safely stored on secure servers that only authorised personnel have access to via password. Company encrypts all personal information as it is transferred to Company and thus makes all necessary effort to prevent unauthorised parties from viewing any such information.

## AFFILIATES AND PARTNERS

The Company may share information with partners, affiliates and alliances in the event such information is reasonably required by such affiliate in order to:

1. offer additional similar products and services that meet your needs and which are delivered in a manner that is useful and relevant (only where you have authorized us to do so); and/or
2. service customer accounts.

## NON-AFFILIATED THIRD PARTIES

The Company does not sell, license, lease or otherwise disclose personal information to third parties, except as described in this Privacy Statement.

The Company reserves the right to disclose information as necessary to credit reporting or collection agencies as reasonably required in order to provide the services to you.

To help us improve our services, the Company may engage third parties to help carry out certain internal functions such as account processing, fulfillment, client service, client satisfaction surveys or other data collection activities relevant to our business. Use of the shared information may also be used to provide professional, legal, or accounting advice to Company. Use of shared information is strictly limited to the performance of the above and is not permitted for any other purpose. All third parties with which Company shares personal information are required to protect such personal information in accordance with all relevant legislation and in a manner similar to the way Company protects the same. Company will not share personal information with third parties which it considers will not afford its Clients the required level of protection.



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Where you have been introduced to us by a Business Introducer, such Business Introducer may have access to your information. You hereby unambiguously and unequivocally consent to the sharing of information with such Business Introducer.

You acknowledge that in order to provide services to you, it may be necessary for information to be transferred outside of the European Economic Area and you consent to such transfer.

## RESTRICTION OF RESPONSIBILITY

Company is not responsible for the privacy policies or the content of sites mtimarkets.com links to, and has no control of the use or protection of information provided by Client or collected by those sites. Whenever Client elects to link to a cobranded website or to a linked website, Client may be asked to provide registration or other information. Please note that such information is recorded by a third party and will be governed by the privacy policy of that third party.

## USE OF "COOKIES"

Company uses cookies to secure your trading activities and to enhance the performance of the mtimarkets.com website. Cookies used by Company do not contain personal information or other sensitive information.

Company may share website usage statistics with reputable advertising companies and with its affiliated marketing companies. The information collected by the advertising company is not personally identifiable.

To administer and improve the mtimarkets.com website, Company may use third parties to track and analyze usage and statistical volume information. The third party may use cookies to track behavior and may set cookies on Company's behalf. These cookies do not contain any personally identifiable information.

## PRIVACY STATEMENT UPDATES

From time to time, Company may update this Privacy Policy Statement. In the event the COMPANY materially changes this Privacy Policy Statement including how we collect, process or use your personal information, the revised Privacy Policy Statement will be posted on the website Client agrees to accept posting of a revised Privacy Policy Statement electronically on the website as actual notice to Client. Any dispute over our Privacy Policy Statement is subject to this Notice and our Customer Agreement. COMPANY encourages Client to periodically check back and review this policy so that Client always will know what information COMPANY collects, how COMPANY uses it, and to whom COMPANY may disclose it.

## AGREEMENT

I have read, understood and agree with the terms of this Privacy Policy Statement, and I confirm that I have full power and authority to be bound by the terms of this Privacy Policy Statement.

For any other concern please Contact Us at [compliance@mtimarkets.com](mailto:compliance@mtimarkets.com) . This privacy policy ("policy") explains the privacy practices employed by MTI Investments LLC. (the "company") in providing the service (as they defined in the services agreement) to its customers ("client",) and shall be read in the light of the services agreement held between the parties.



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